

08 April 2025

## Notice of hearing

A hearing of ACCA's Disciplinary Committee will take place at 09:30am on 06 & 07 April 2025. It will be held remotely but based at the ACCA's Offices, The Adelphi, 1-11 John Adam Street, London, WC2N 6AU.

The hearing is open to any member of the public or the media who wish to attend. However, ACCA is only able to accommodate remote access to the hearing. Please contact [adminadjudication@accaglobal.com](mailto:adminadjudication@accaglobal.com) to obtain information about attending this (or any other) hearing.

The case to be heard on this day concerns Mr Steve Brown.

## Allegations

1. Steve Brown, a member of ACCA, on or about 26 October 2021 submitted or caused to be submitted to ACCA an ACCA Anti-Money Laundering (AML) Risk Assessment Questionnaire representing that:
  - a. He had conducted a firm-wide risk assessment for his firm and it was documented; and
  - b. His firm had documented AML Policies and Procedures in place.
2. In respect of allegation 1 above Mr Brown was dishonest in that he sought to represent that:
  - a. He had conducted a firm-wide risk assessment for his firm and it was documented, when he knew this was not true.
  - b. His firm had documented AML Policies and Procedures in place, when he knew this was not true.
3. In the alternative in respect of the conduct referred to in allegations 1 and 2 above, Mr Brown has failed to demonstrate integrity.

4. Mr Brown, between 26 June 2017 and 2 August 2022 failed to demonstrate on behalf of his firm it had complied with the requirements of the Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017, namely and or all:

- 4.1 Regulation 18 (Risk assessment)

- 4.2 Regulation 19 (Policies, controls and procedures)

- 4.3 Regulation 27 & 28 (Customer due diligence)

- 4.4 Regulation 33 (Enhanced customer due diligence)

5. By reason of his conduct, Mr Brown is:

- 5.1 Guilty of misconduct pursuant to bye-law 8(a)(i) in respect of any or all of the matters set out above

The allegations listed above are current at the date of publication.

The case will be heard by a panel of the ACCA's Disciplinary Committee.

**- ends -**

**For media enquiries, contact:**

ACCA News Room

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**About ACCA**

We are ACCA (the Association of Chartered Certified Accountants), a globally recognised professional accountancy body providing qualifications and advancing standards in accountancy worldwide.

Founded in 1904 to widen access to the accountancy profession, we've long championed inclusion and today proudly support a diverse community of over 252,500 members and 526,000 future members in 180 countries.

Our forward-looking qualifications, continuous learning and insights are respected and valued by employers in every sector. They equip individuals with the business and finance expertise and ethical judgment to create, protect, and report the sustainable value delivered by organisations and economies.

Guided by our purpose and values, our vision is to develop the accountancy profession the world needs. Partnering with policymakers, standard setters, the donor community, educators and other accountancy bodies, we're strengthening and building a profession that drives a sustainable future for all.

Find out more at: [www.accaglobal.com](https://www.accaglobal.com)